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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

Applicant(s): **Keith W. Diveley**

Serial No.:

Filed: March 31, 2001

For: **PAYMENT SERVICE METHOD AND SYSTEM**

Kansas City, Missouri

Assistant Commissioner for Patents

BOX: FEE - NEW APPL.

Washington, D. C. 20231

Sir:

Applicant is aware of the following references listed on the attached PTO-1449, copies of which are enclosed:

U. S. Patents

Patent No.

Issue Date

Inventor


[No Prior Art Disclosed Upon Filing of U.S. Patent Application]

The above-listed references are, in the belief and opinion of the undersigned, the closest art, that is required to be disclosed under the duty of disclosure, of which the applicant is aware as of the date of execution of the Declaration in the above-captioned application.

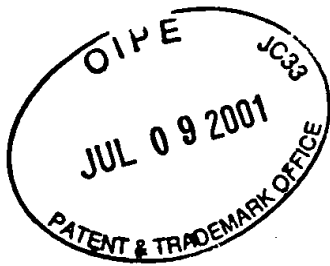
Respectfully submitted,

Date:

5/30/01


Keith W. Diveley, Inventor

#3



CERTIFICATE OF MAILING

I hereby certify that in compliance with 37 C.F.R. 1.153(b) the documents as required in Notice to File Missing Parts of Non-Provisional Application are included herewith (*executed by Inventor*) for U.S. Patent Application No. 09/823,697 and have been deposited with the United States Postal Service as *Express Mail #EL880048837US* in an envelope addressed to Assistant Commissioner of Patents; BOX: (FEE) MISSING PARTS, Washington, D.C. 20231 on this ____ day of July, 2001.

Keith W. Diveley, Applicant

SHUGHART THOMSON & KILROY, P.C.

By: Mark Brown
Mark E. Brown, Reg. No. 30,361
Attorney for Applicant

Date of Signature: 7/9/01

ASSISTANT COMMISSIONER FOR PATENTS
Box: FEE - MISSING PARTS
Washington, D. C. 20231

Date: July 9, 2001

In regards to the application of: Keith W. Diveley

Serial No.: 09/823,697

Filed: March 31, 2001

FOR: PAYMENT SERVICE METHOD AND SYSTEM

PTO Confirmation No. 7446

Sir:

Transmitted herewith for filing are the following:

Enclosed are:

☐ Patent Application (___ pgs.) and Drawings (___ pgs)
☒ Declaration and Power of Attorney (*Signed by Inventor*)
☒ Information Disclosure Statement (*Signed by Inventor*)
☒ The filing fee has been calculated as shown below:

| | | | | <u>SMALL ENTITY</u> | | <u>OTHER THAN A SMALL ENTITY</u> |
|---------------------------------------|--------------------|------------------|-------------|---------------------|-------------|--------------------------------------|
| <u>FOR</u> | <u>NO. FILED</u> | <u>NO. EXTRA</u> | <u>RATE</u> | <u>FEE</u> | <u>RATE</u> | <u>FEE</u> |
| BASIC FEE | ***** | ***** | **** | \$ 355.00 | or **** | \$710 |
| TOTAL CLAIMS | _____ - 20 = _____ | | x 9= | \$ _____ | or x18= | \$ 18.00 |
| INDEP. CLAIMS | _____ - 3 = _____ | | x40= | \$ _____ | or x80= | \$160.00 |
| MULTIPLE DEPENDENT CLAIM(S) PRESENTED | _____ | | +135 | \$ 0 | or +270= | \$ _____ |

TOTAL: \$130.00

**** FILING FEE AS NOTED ON NOTICE TO FILE MISSING PARTS IN
THE AMOUNT OF \$130.00.**

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 12-1660. *A duplicate copy of this sheet is attached.*

☒ Our Check No. 11683 (Jaw) is also enclosed to cover, among other items, the above filing fee.

Respectfully submitted,

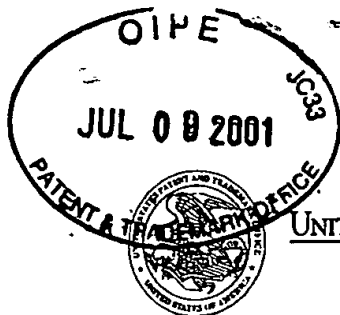
SHUGHART THOMSON & KILROY, P.C.



BY

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UNITED STATES PATENT AND TRADEMARK OFFICE

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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/823,697 | 03/31/2001 | Keith W. Diveley | 01,155 |

CONFIRMATION NO. 7446

FORMALITIES LETTER



OC000000006066913

SHUGHART THOMSON & KILROY, P.C.
120 West 12th Street
Kansas City, MI 64105

Date Mailed: 05/11/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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